

UIDP Academy Workshop

Maximizing University-Industry Engagement with DOE National Laboratories

October 15 - 16, 2015

This workshop offers an opportunity for DOE, national lab, industry and university participants to discuss their own organizational and personal experiences and perspectives on contracting to better understand each organization’s cultures, policies, authorities and constraints when negotiating agreements. The results of this workshop will expand upon existing bi-lateral university-industry research, development, and commercialization contract accords and provide insight into new approaches worthy of consideration and testing. Following a review of the critically important step of developing, through good faith and fair dealing, a comprehensive Statement of Work (SOW), the workshop participants will break into several groups to compare and contrast existing DOE Cooperative Research and Development Agreement (CRADA) Articles with the comparable UIDP Contract Accords. The results of the SOW panel and breakout groups will be used as a starting point to begin crafting a modified set of Contract Accords to facilitate future partnering research agreements for all the parties through an increased understanding on the subjects.

DAY ONE: Thursday, October 15	
1: 00 PM - 1:05 PM	Welcome and General Comments on Purpose of Workshop <ul style="list-style-type: none"> • Tony Boccanfuso – President, UIDP
1:05 PM - 1:30 PM	Keynote Speaker: The Critical Role of Academic-Industry Partnerships The speaker will address the role partnerships have on technology transfer and the delivery to society. <ul style="list-style-type: none"> • Mike Paulus - Technology Transfer Division Director, Oak Ridge National Laboratory
1:30 PM - 1:45 PM	Workshop Overview <ol style="list-style-type: none"> 1. UIDP National Lab Project Objectives 2. Purpose of this workshop and Pre-workshop participant survey results 3. Highlights of Oct. 13-15 General Meeting <ul style="list-style-type: none"> • Elizabeth Adams - UIDP National Lab Project Co-Chair, and Assistant Vice President for Research Administration, Office of Sponsored Programs, University of Virginia • Anthony Radspieler - UIDP National Lab Project Co-Chair, and Manager, Open Innovation, Samsung Information Systems America R&D Center



1:45 PM - 2:45 PM	Session: Mechanisms for Partnering with National Labs - The New Mexico Consortium The New Mexico Consortium was created as a joint venture between UNM, New Mexico State, New Mexico Tech and Los Alamos National Laboratory to engage universities and industry in scientific research. This session will present highlights of partnering mechanisms to elicit audience Q&A and discussions.
2:45 PM - 3:00 PM	Break
3:00 PM - 4:15 PM	Panel: The Model CRADA, Joint Work Statement and the Statement of Work Presented by DOE Lab, DOE Contractor, Industry and University representatives. Learn about the institutional perspectives and different ways that labs, companies and universities use CRADA's and other vehicles as a mechanism for partnering. The SOW is an integral part of any research agreement. It should define the 'who, what, when, why and how' of the project effort. Too often, the SOW is not given the appropriate level of attention during the project definition period, only to lead to misunderstanding or a potential conflict downstream. What is the connection between the SOW and the CRADA Joint Work Statement (JWS)? Moderator: Elaine Brock - President and Senior Partner Contracts, Compliance, and Conflict of Interest Authority LLC <ul style="list-style-type: none">• Judson R. "Jud" Hightower - Intellectual Property Managing Attorney, Science and Technology Partnerships Directorate, ORNL, DOE• Jeremy Benton - Manager of Technology Commercialization and Partnerships, Y-12 National Security Complex• Greg Ashley - Senior Vice President, Bechtel Corporation• Paul Howard - Managing Counsel, Toyota• Andrew Maas - Assistant Vice President, Intellectual Property & Commercialization, Louisiana State University
4:15 PM - 5:30 PM	Breakout Sessions: Contract Accords (CRADA Articles) The current 15 Contract Accords for University-Industry Sponsored Research Agreements were developed over the past five years by a dedicated team of research administration professionals from academia and industry with the goal of significantly adding to the current body of knowledge. Our UIDP members have found the accords invaluable during the negotiation of sponsored research agreements. We want to extend the current bi-lateral university and company perspectives on a few of the accords to include the perspective of the national labs, and share the results with all three parties. The accords to be addressed in the following sessions were down selected initially at the June 2015 workshop planning conference and finally determined through a pre-workshop survey of workshop registrants.



	<ol style="list-style-type: none"> 1. Indemnification 2. Payments & Cost Recovery 3. Intellectual Property (Foreground & Background) 4. U.S. Competitiveness 5. Export Control <p>Facilitator: Dr. Maha Krishnamurthy - Assistant Vice President of Licensing, University of Tennessee Research Foundation (UTRF)</p>
5:30 PM	End of Day Remarks
5:45 PM	Load Buses for Reception
6:00 PM	Depart Hilton for Reception
6:15 PM - 7:45 PM	<p>Reception at Cherokee Farm Innovation Campus</p> <ul style="list-style-type: none"> • Host: Stacey Patterson - Associate Vice President for Research Partnerships, University of Tennessee System
7:30 PM - 8:15 PM	Buses run continuously

DAY TWO: Friday, October 16	
7:30 AM - 8:30 AM	Breakfast
8:30 AM - 10:00 AM	<p>Return to Topical Breakout Sessions (continued from Day One)</p> <p>Participants will return to their breakout groups from the previous day. In addition to evaluating the challenges, each group will identify lessons learned about each perspective (university, industry, national lab).</p>
10:00 AM - 10:15 AM	Break
10:15 AM - 11:45 AM	<p>Reconvene for Breakout Session Reports & Discussion</p> <p>This session will provide an opportunity for each breakout group to share what was learned in their session and for participants from other sessions to provide additional insights and comments.</p>
11:45 AM - 12:30 PM	<p>Discussion of Next Steps</p> <p>The group will draw conclusions about best practices and approaches for improving the relationships and contracting mechanisms that span universities, industry, and national labs.</p> <ul style="list-style-type: none"> • Elizabeth Adams - UIDP National Lab Project Co-Chair, and Assistant Vice President for Research Administration, Office of Sponsored Programs, University of Virginia
12:30 PM	Workshop Concludes (Box Lunches Provided)

Updated: September 23, 2015