



RISE: A Secured Research Information Environment to Support U-I Collaboration

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Strengthening University-Industry Partnerships

RISE: A Secured Research Information Environment to Support University-Industry Collaboration

UIDPConnect 2021



Agenda

- 1. Background
- 2. Solution walkthrough
- 3. The Business Case/Application
- 4. Next steps
- 5. Questions



Controlled Unclassified Information (CUI)

Information provided by, collected, or maintained on behalf of, the executive branch of the United States government, and aligns with at least one of the CUI Registry categories.

Executive Order 13556

- Order establishes a program for managing CUI that emphasizes the openness and uniformity of Government-wide practice
- Established on 11/4/2010 under the 44th Administration

CUI Registry examples

- Export Control
- Intelligence
 - Agriculture
- Natural and Cultural Resources
- Nuclear
- Privacy
 - Student Records
- Transportation



NIST 800-171

Control Families

- 3.1 Access Control (22)
- 3.2 Awareness and Training (3)
- 3.3 Audit and Accountability (9)
- 3.4 Configuration Management (9)
- 3.5 Identification and Authentication (11)
- 3.6 Incident Response (3)
- 3.7 Maintenance (6)
- 3.8 Media Protection (9)
- 3.9 Personnel Security (2)
- 3.10 Physical Protection (6)
- 3.11 Risk Assessment (3)
- 3.12 Security Assessment (4)
- 3.13 System and Communications Protection (16)
- 3.14 System and Information Integrity (7)

NIST Special Publication 800-171
Revision 2

Protecting Controlled Unclassified Information in Nonfederal Systems and Organizations

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COMPUTER SECURITY





Impact to K-State (Research)

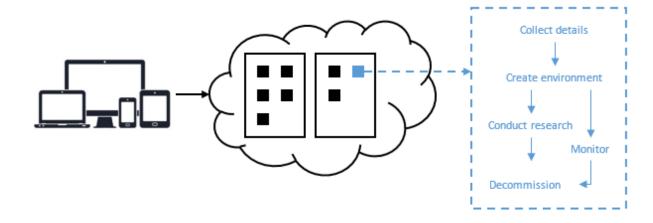
Very real risk to federally sponsored research

■ ~33% of K-State portfolio

How do meet these new requirements

- Perform a readiness review with Anneal Initiative
- Formal self-assessment
- Isolate CUI with Microsoft Azure
- Research Information Security Enclave (RISE)

What is the application for university-industry collaboration?





CMMC for Universities and Industry

- Certification timelines unclear
 - Pilot/Pathfinder contracts and certification
- Compliance does not equal security (cyber or info)
 - Need an approach that accomplishes both
- Focus resources to protect covered info
 - Isolate from business or other networks
 - Limit access to those working covered projects
 - Support an awareness and security culture
- If it is not in writing it does not count
 - Planning, policies, procedures, and standards
- Ready access to objective evidence (for certification)



NIST/CMMC Challenges for Industry

- Steep threshold to entry
 - Minimum seats with critical services
 - Cost of SIEMs, MFA, AD, encryption, authorized cloud services
 - Planning, policy, management and maintenance
 - Certification moving target, unknown costs
- Information security is seen as an IT problem
- IT staffs are task saturated
- Not all MSPs/MSSPs are familiar/prepared
- Making cost allowable does not help competitiveness



Benefits of Third-Party Support

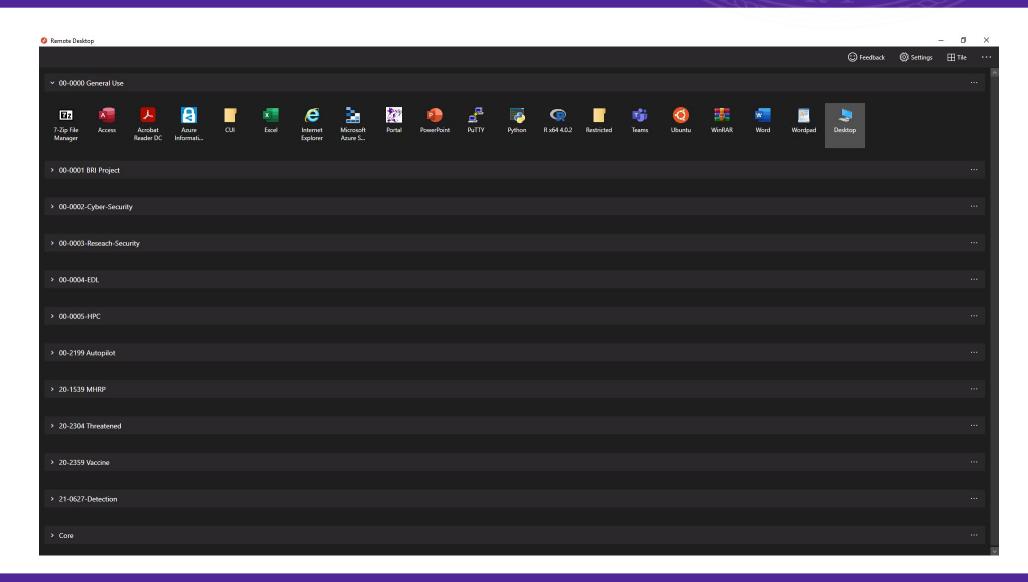
- Time- extensive effort & shifting environment
- Dedicated experts get the support of a team
- Objective- independent; not influenced by hierarchy or politics
- More eyes- different perspective on security posture than MSP/IT Staff
- Ongoing Risk Analysis- threats, vulnerabilities, technology, and regulations change
- Planning and policy development- bridge gaps between CMMC and other organization efforts



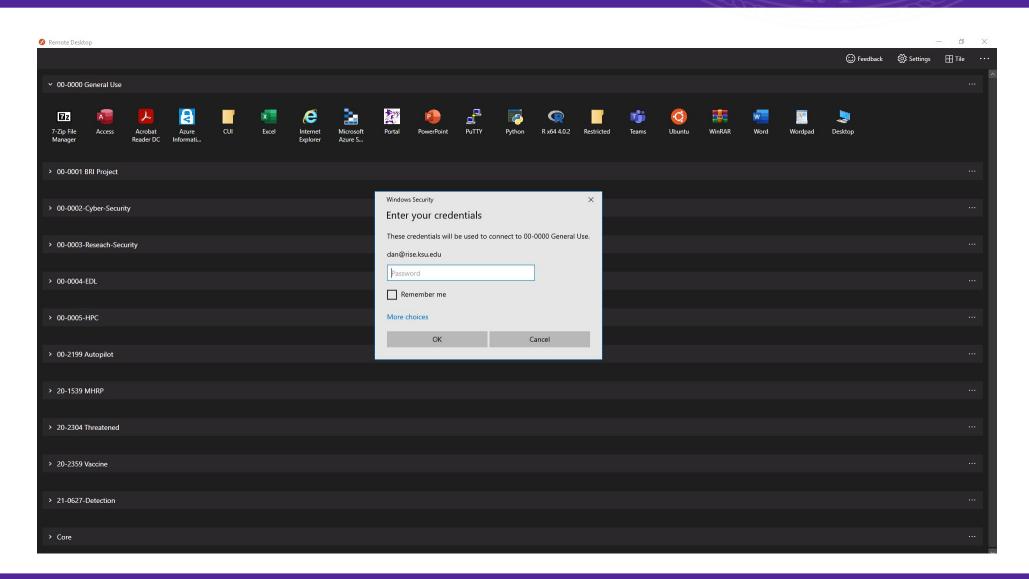
Let's see it



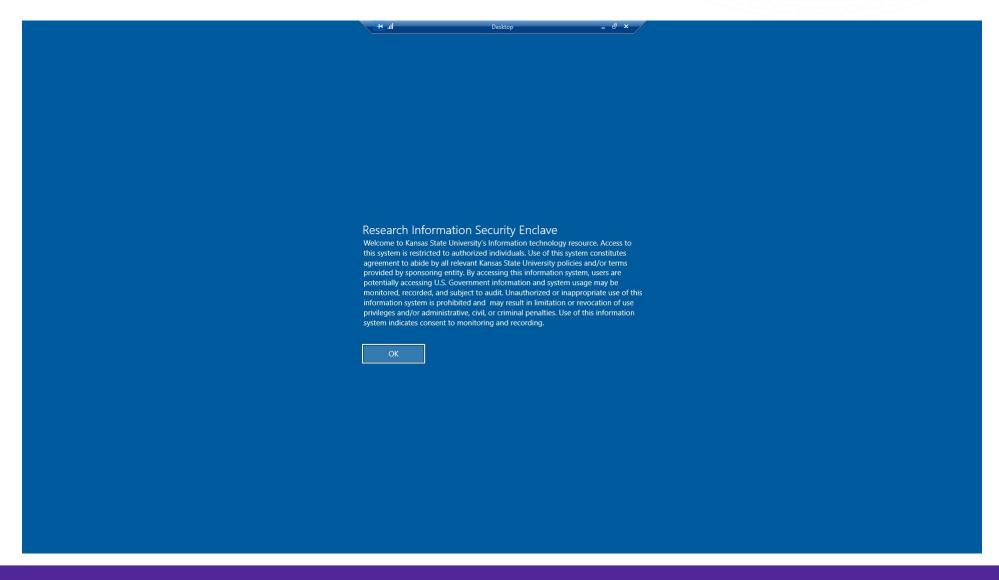








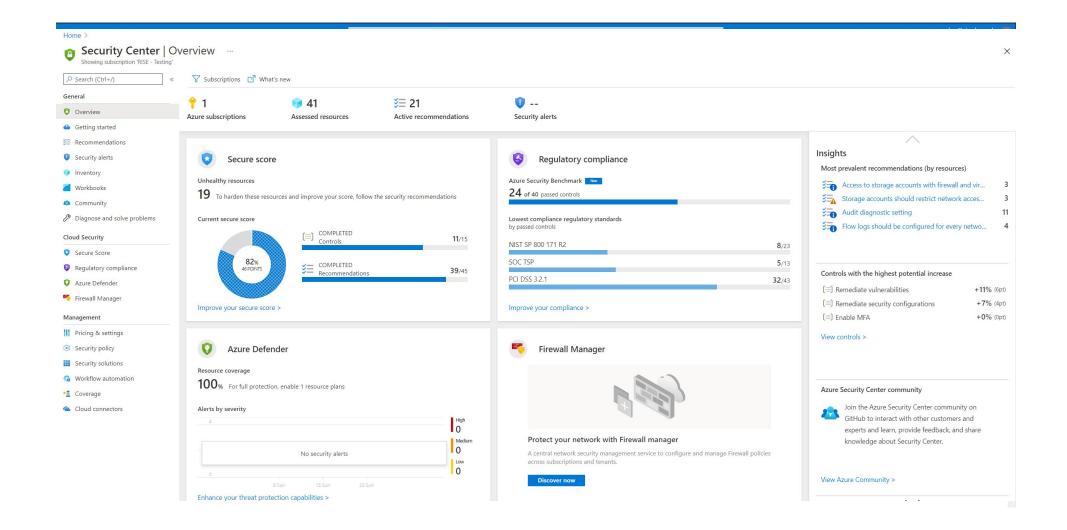


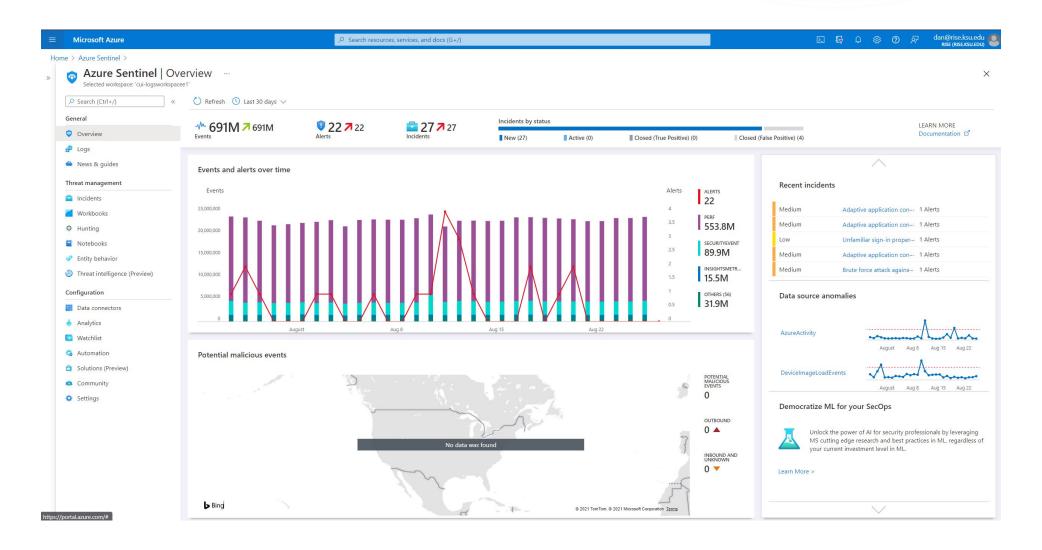












What's to come for K-State

- Additional standards
 - NIST SP 800-53 r5
 - NIST SP 800-172
 - DOD CMMC Level 3
 - "General research"

Any specific industry security needs not covered?

By leveraging the Microsoft Azure cloud ("Azure"), RISE meets the technical information security requirements established by the federal government. In addition, this solution may be deployed to facilitate a secure environment for the working with, sharing and storage of highly sensitive and proprietary information and data exchanged or generated through university-industry research collaborations.

RISE is intended to not only support an institution's ability to meet multiple information security standards, but also to provide a path of least resistance for the research community, thus, fostering adoption of the RISE solution and alleviating the burden of information security from the research team.



Questions



