



Alabama State University

Office of Research and Sponsored Programs

(ORSP)

Pernell L. Jenkins, MBA



Where We Started

2019-2020

- 35 Submissions
- Awards \$8.3 MM



Key Ingredients of Impact

- Increase the number of submissions
- Increase the number of funding opportunities that the campus has access to
- Increase the number of awards
- Obtain the knowledge and education needed to understand the impact of non-compliance



Increased Submissions

- Conducted Grant Administration Workshops
- Met with Department Directors, and Deans
- Hosted one-on-one Technical Assistance meetings with interested Writers (making sure the Investigator understands the sponsor guidelines)
- Paired Junior Faculty with Senior Faculty to help guide and mentor
- Encouraged Departments and Colleges to set submission goals



Increased Funding Opportunities

- Committed to sending out 100 funding opportunities per academic year
- Created a computer lab to provide Technical Assistance (TA) for Faculty and Staff interested in writing grants
- Purchased a subscription to InfoEd/Spin that will allow faculty and staff to search for funding opportunities outside of the opportunities that we send out... Employees have access to over 40,000 funding opportunities and 10,000 Sponsors



Increased Awards

- Had Reviewers come to the campus and conduct workshops
- Solicited the help of Faculty who serve as Reviewers to assist with proposal development
- Paired Senior Faculty members with Junior Faculty to assist in proposal development and explain the importance of understanding the funding opportunity announcement



As A Result.....

2019-2020

- 35 Submissions
- Awards \$8.3 MM

2020-2021

- 55 Submissions
- Awards \$28.3 MM

2021-2022

- 41 Submissions
- Awards \$34.2 MM

2022-2023

- 86 Submissions
- Awards \$56+ MM



Growing Research Access for Nationally Transformative Equity and Diversity (GRANTED)

Alicia J. Knoedler, PhD, CRA
Head, Office of Integrative
Activities

Dina Myers Stroud, PhD
Program Director
GRANTED/HBCU-EIR

Kimberly P. Littlefield, PhD
Program Director - GRANTED

Apply to the National Science Foundation



Right now is a terrific time to apply to the National Science Foundation (NSF) for funding to support research projects that expand knowledge in science, engineering and education as well as those that seek to increase the capacity of the U.S. to conduct and exploit such research.

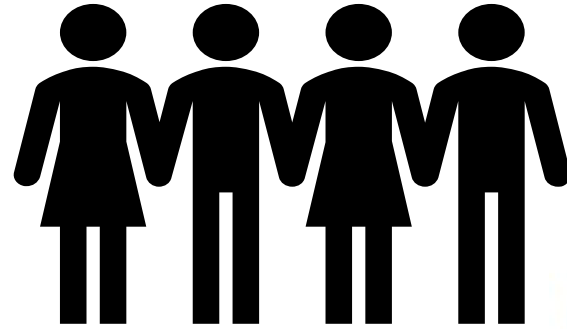
- **The What and Why of GRANTED?**
- **What do we support?**
- **What have we funded so far?**
- **What make for a successful proposal?**
- **Outcomes and Impacts**

NSF GRANTED – What are we doing?



“A strong national research enterprise relies on more than funding for the research itself.”

NSF PD 23-221Y



- ***research development***
- ***research administration***
- ***technology transfer and commercialization***
- ***corporate relations/public-private partnerships***
- ***research integrity, compliance, and security***
- ***research policy***
- ***infrastructure to support of student research***
- ***research leadership***

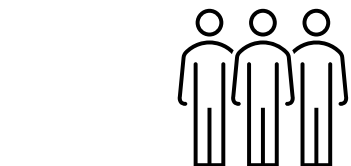


Why GRANTED? Acknowledging Challenges....



- Disparities in hiring and attrition rates for research support staff
- Training is available but not equitably accessible
- Federal funding is not distributed equitably or broadly across the national research enterprise
- Varied institutional access to research support and sponsored project management resources
- Investment in research support and sponsored project management resources does not match faculty need, expectations, and/or institutional mission

Why GRANTED?



Research Development



Research Training



Broader Impacts



Research Administration



Research Commercialization



Corporate Relations



Research Integrity/Compliance



Research Policy



Research Leadership



**Research
Support and
Service
Infrastructure
Ecosystems**

Program Description – PD 23-221Y

- <https://new.nsf.gov/funding/initiatives/broadening-participation/granted>
- Ideas that *over time* will increase access, catalyze national transformation, and address systemic equity and diversity issues in the research enterprise
- Projects must be fully developed
- PIs and Co-PIs should be the ones doing the work
- Project costs – ask for what you need!

Program Description – PD 23-221Y

- Not a limited submission
- No deadline
- No budget floor, no budget cap
- Project terms should be no more than 5 years
- Does not restrict or discourage any higher education institution or non-profit organization from participating
- Does not fund disciplinary research

Ideas for GRANTED



- Strengthening the Nation's Research Enterprise is the **goal**
- Gains within PUIs, ERIs and MSIs is a **goal**
- National Transformation of the Research Enterprise is the **Broader Impact**

- **Workforce Development**
- **Hiring**
- **Creating capacity for public/private partnerships**
- **Establishing Tech Transfer Shared Service**
- **Research leadership**
- **Knowledge management**

It starts with an idea! It involves collaboration/communication.

Need and rationale

Activities to address the need

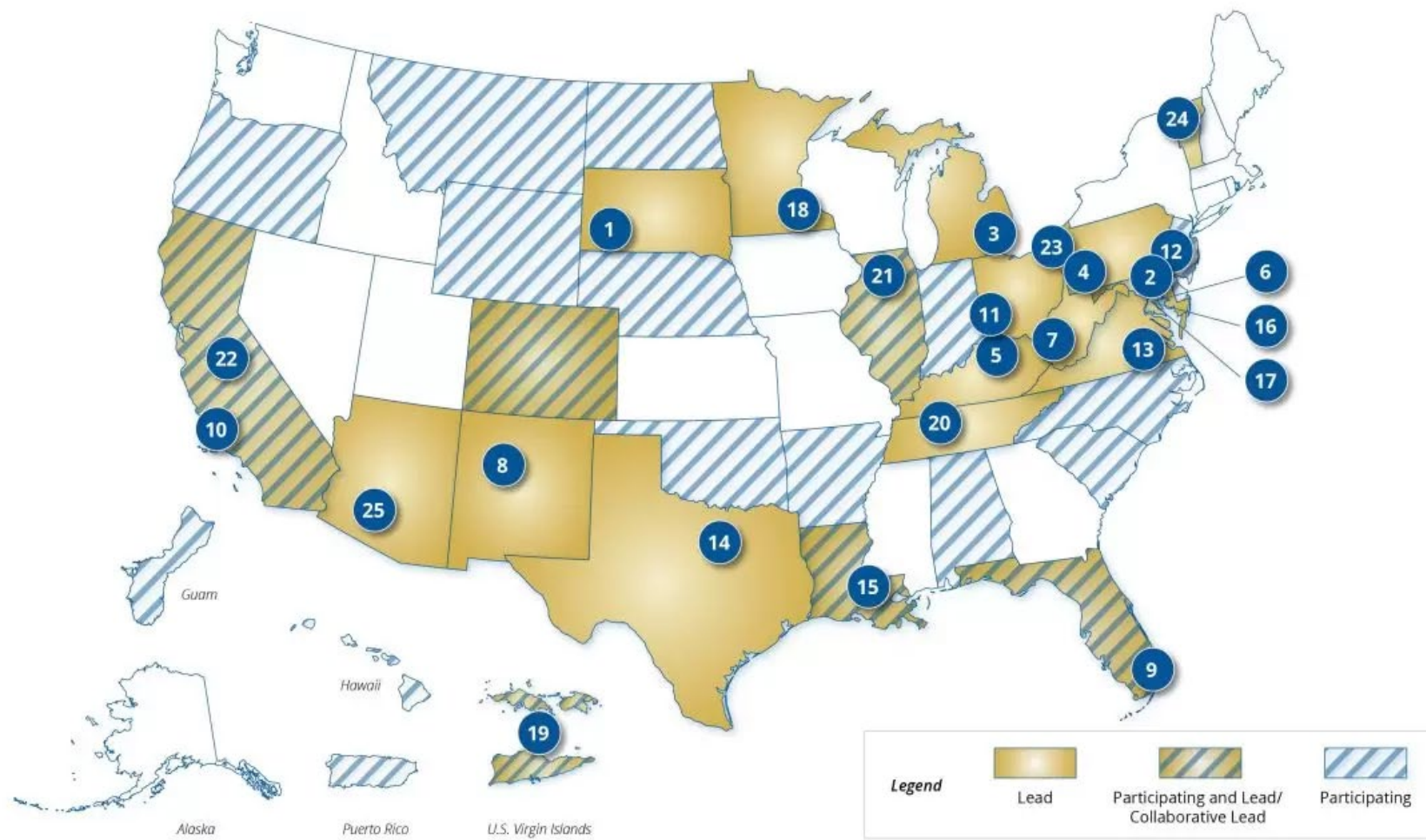
Model that benefits others

Metrics for success

Dissemination

Sustainability

Funded Initial Convenings



- Direct Support for Development and Capacity Building
- Research on the Research Enterprise
- Professional Development for Research Administration
- Workforce Development
- Collaborative Shared Service Models

**Funded
Projects**

What's missing?

Outcomes

- Increased Access to Research Support and Service Infrastructure
- Increased Participation
- New Knowledge
- New Networks
- Workforce Expansion
- Visibility

Impacts

- More Equitable and Diverse Participation
- Shifts in Funding Flow
- Changes in Practice and Policy
- Robust and Resilient Enterprise
- Clear(er) Career Paths
- Recognition
- Transformative Change

Contact Information and GRANTED Engagement



Email: GRANTED@nsf.gov; aknoedle@nsf.gov
klittlef@nsf.gov dstroud@nsf.gov

Website: <https://new.nsf.gov/funding/initiatives/broadening-participation/granted>

- Join the GRANTED Mailing List
- Volunteer to be a reviewer for GRANTED
- **Office Hours:** Wednesdays, 3-4pm ET
- Have an idea? Send us a project summary!

UIDP Academy Workshop

Structuring for Success: Research Teams

Sajid Hussain




Strengthening
University-Industry
Partnerships





Indirect Cost Rate


- <https://www.ecfr.gov/current/title-2/subtitle-A/chapter-II>

← ECFR CONTENT

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⦿ **Appendix III to Part 200—Indirect (F&A) Costs Identification and Assignment, and Rate Determination for Institutions of Higher Education (IHEs)**

A. General

This appendix provides criteria for identifying and computing indirect (or indirect (F&A)) rates at IHEs (institutions). Indirect (F&A) costs are those that are incurred for common or joint objectives and therefore cannot be identified readily and specifically with a particular sponsored project, an instructional activity, or any other institutional activity. See subsection B.1 for a discussion of the components of indirect (F&A) costs.



Distribution of Funds

General
Funds

Pre-
determined
proportion

Negotiated
with Dean or
Provost

Sample IDC Distribution

10% - Office of the Provost

05% - VP for Research and Economic Development

30% - School/Department

30% - Principal Investigators

25% - Start-up for New Faculty




Faculty Incentives



Research
Development
Programs



Time Release



Additional Staff
Members to
support research

Small HBCU Challenges

Salary

- Faculty Salaries may not be very competitive; reach the limit of 2 months (NSF) very soon.

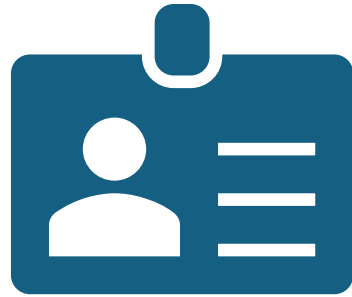
Time Release

- Due to limited staff, time release could be challenging for the department.

Full-Time/Part-Time Ratio

- Maintain the acceptable ratio for Full Time / Part Time Faculty.

IDC and University Leadership



IDC aligned with the University
Strategic Plan.



Campus Master Plan

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